

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donna G. Albertson, Daniel Pinkel, and Antoine Snijders
Assignee: The Regents of the University of California
Title: METHODS AND COMPOSITIONS FOR PREPARATION OF A
POLYNUCLEOTIDE ARRAY
Serial No.: 09/574,386 Filed: May 19, 2000
Examiner: Not assigned yet Group Art Unit: 1643
Docket No.: M-9144 US (Formerly
19629-715)

San Jose, California
October 2, 2000

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on July 31, 2000, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
2. Copy of Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

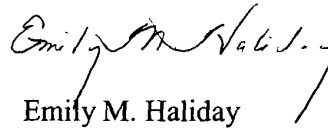
- | | | |
|----|--|-----------|
| 1. | Surcharge for filing declaration on a date later than the
filing date of the application. | 130.00 |
| 2. | TOTAL FEES: | \$ 130.00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of May 19, 2000. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

Express Mail Label No.:
EL487692056US

Respectfully submitted,



Emily M. Haliday
Attorney for Applicants
Reg. No. 38,903

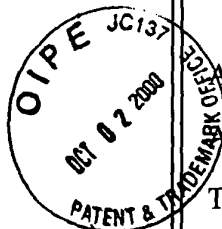
FILE COPY

RECEIVED

OCT 23 2000

TECH CENTER 1600/2500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Donna G. Albertson, Daniel Pinkel, and Antoine Snijders
Assignee: The Regents of the University of California
Title: METHODS AND COMPOSITIONS FOR PREPARATION OF A
POLYNUCLEOTIDE ARRAY

Serial No.: 09/574,386 Filed: May 19, 2000
Examiner: Not yet assigned Group Art Unit: 1643
Docket No.: M-9144 US

RECEIVED

NOV 30 2000

TECH CENTER 1600/2500

San Jose, California
September 26, 2000

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

Attention: Application Processing Division, Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants hereby request the Commissioner For Patents to correct the Official Filing

Receipt for the above-identified patent application as follows:

- ☐ 1. Correct the Filing Date
- ☒ 2. Correct Inventor Name
- ☐ 3. Correct Title
- ☐ 4. Correct information referencing related case
- ☐ 5. Correct Attorney Docket Number
- ☐ 6. Other: _____

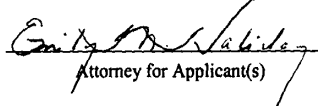
This Request is submitted for the following reasons:

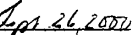
Please change "Danna G. Albertson" to "Donna G. Albertson".

Attached is a copy of the Filing Receipt having the above errors with the changes noted thereon, as well as a copy of the unsigned Declaration filed with the application. Because the Declaration listed the correct inventor information, Applicants believe no fee is required. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

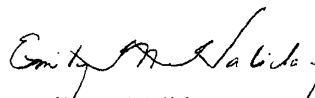
If this action does not lead to issuance of a corrected Official Filing Receipt as requested, please contact the undersigned at (408) 453-9200.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on September 26, 2000.


Attorney for Applicant(s)


Date of Signature

Respectfully submitted,


Emily M. Haliday
Attorney for Applicants
Reg. No. 38,903

AUG 31 2000

MDB&E

FILING RECEIPT



OC00000005280744

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/574,386	05/19/2000	1643	726	19629-715	2	22	

RECEIVED

Emily M Haliday
 McCutchen Doyle Brown and Enersen LLP
 28th Floor
 Three Embarcadero Center
 San Francisco, CA 94111



NOV 30 2000

FILING CENTER 1500/2900

Date Mailed: 07/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Donna

Donna G. Albertson, Lafayette, CA ;
 Daniel Pinkel, Walnut Creek, CA ;
 Antoine Snijders, San Francisco, CA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/14/2000

-

Title

Methods and compositions for preparation of a polynucleotide array

Preliminary Class

435

Data entry by : KIM, JONATHAN

Team : OIPE

Date: 07/31/2000



RECEIVED

OCT 23 2000

TECH CENTER 1600/2900



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



#3

FORMALITIES LETTER



OC000000005280745

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/574,386	05/19/2000	Danna G. Albertson	19629-715

Emily M Haliday
McCutchen Doyle Brown and Enersen LLP
28th Floor
Three Embarcadero Center
San Francisco, CA 94111

Date Mailed: 07/31/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/13/2000 EHAMDND 00000113 192386 09574386

01 FC:105

130.00 CH



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bib Data Sheet

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.	
09/574,386	05/19/2000 RULE -	435	1643	19629-715	
APPLICANTS Donna G. Albertson, Lafayette, CA ; Daniel Pinkel, Walnut Creek, CA ; Antoine Snijders, San Francisco, CA ;					
** CONTINUING DATA ***** None					
** FOREIGN APPLICATIONS ***** None					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/14/2000					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no		STATE OR COUNTRY CA	SHEETS DRAWING 2	TOTAL CLAIMS 22	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance					
Verified and Acknowledged Examiner's Signature: <i>Alexander H. Smith</i> Initials: <i>AS</i>					
ADDRESS Emily M Haliday McCutchen Doyle Brown and Enersen LLP 28th Floor Three Embarcadero Center San Francisco ,CA 94111					
TITLE Methods and compositions for preparation of a polynucleotide array					
FILING FEE RECEIVED 856	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees		
			<input type="checkbox"/> 1.16 Fees (Filing)		
			<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)		
			<input type="checkbox"/> 1.18 Fees (Issue)		
			<input type="checkbox"/> Other _____		
			<input type="checkbox"/> Credit		

Page 1 of 1
RECEIVED
FILE COPY
C.123000

RECEIVED

NOV 30 2000

TECH CENTER 1600/2900